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Rev. 6/95U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket Number

310265.90261

First Named Inventor

Dr. Paul C. Tang

COMPLETE IF KNOWN

Application Number

09/524,826

Filing Date

03/14/2000

Group Art Unit

Examiner Name

DECLARATION FOR
UTILITY OR DESIGN
PATENT APPLICATION☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ELECTRONIC MEDICAL RECORDS SYSTEM WITH ACTIVE CLINICAL GUIDELINES

the specification of which

(Title of the Invention)

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 03/14/2000

as United States Application Number or PCT International

Application Number 09/524,826

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign applications numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

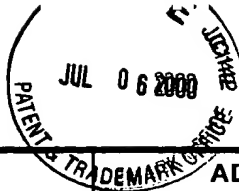
Burden Hour Statement: This form is estimated to take .4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. QBMA1212308

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DECLARATION						Page 2		
<p>I hereby claim benefit under Title 35, United States Code § 120 of any United States application(s), or § 365(C) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application or PCT International application in the manner provided in the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.</p>								
U.S. Parent Application Number		PCT Parent Number		Parent Filing Date (MM/DD/YYYY)		Parent Patent Number (if applicable)		
<input type="checkbox"/> Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto								
<p>As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and all continuation and divisional applications based thereon, and to transact all business in the Patent and Trademark Office connected therewith:</p>								
<div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Firm Name OR <input checked="" type="checkbox"/> List attorney(s) and/or agent(s) name and registration number below </div> <div> Customer Number or label </div> </div>								
Name		Registration Number		Name		Registration Number		
Neil E. Hamilton		19,869		Joseph W. Bain		34,290		
Thomas W. Ehrmann		20,374		Robert J. Sacco		35,667		
Barry E. Sammons		25,608		Jean C. Baker		35,433		
J. Rodman Steele		25,931		David G. Ryser		36,407		
Nicholas J. Seay		27,386		Bennett J. Berson		37,094		
George E. Haas		27,642		Michael A. Jaskolski		37,551		
Harvey D. Fried		28,298		Allen J. Moss		38,567		
Michael J. McGovern		28,326		Sherry Whitney		39,422		
Carl R. Schwartz		29,437		Jill A. Fahrlander		42,518		
Gregory A. Nelson		30,577		Scott D. Paul		42,984		
Keith M. Baxter		31,233		Daniel G. Radler		43,028		
John D. Franzini		31,356		Steven J. Wietrzny		44,402		
<input type="checkbox"/> Additional attorney(s) and/or agents named on a supplemental priority sheet attached hereto								
<p>Please direct all correspondence to <input type="checkbox"/> Customer Number or label OR <input checked="" type="checkbox"/> Fill in correspondence address below</p>								
Name		Nicholas J. Seay						
Address		Quarles & Brady LLP						
Address		P O Box 2113						
City		Madison			State		WI	
Zip		53701-2113						
Country		USA		Telephone		(608)251-5000		
Fax		(608)251-9166						
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.</p>								
<p>Name of Sole or First Inventor: A petition has been filed for this unsigned inventor</p>								
Given		Paul		Middle Initial		C.		
Family Name		Tang				Suffix		
Inventor's Signature							Date	
		5-30-00						
Residence:		Los Altos			State		CA	
Country		US						
Citizenship		US						
Post Office		1270 CARMEL TERRACE						
Post Office								
City		Los Altos		State		CA		
Zip		94024		Country		US		
Applicant Authority								
<input checked="" type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto								

Please type a plus sign (+) inside this box ☐



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DECLARATION										ADDITIONAL INVENTOR(S) Supplemental Sheet									
Name of Additional Joint Inventor, if any:										A petition has been filed for this unsigned inventor									
Given	Charles				Middle Initial	Y.		Family Name	Young				Suffix						
Inventor's											Date	5/30/2000							
Residence:		Palo Alto				State	CA		Country	US		Citizenship	US						
Post Office		4162 Crosby Place, Palo Alto, CA 94306																	
Post Office																			
City	Palo Alto				State	Ca		Zip	94306		Country	US		Applicant Authority					
Name of Additional Joint Inventor, if any:										A petition has been filed for this unsigned inventor									
Given					Middle Initial			Family Name					Suffix						
Inventor's											Date								
Residence:						State			Country			Citizenship							
Post Office																			
Post Office																			
City					State			Zip			Country			Applicant Authority					
Name of Additional Joint Inventor, if any:										A petition has been filed for this unsigned inventor									
Given					Middle			Family					Suffix						
Inventor's											Date								
Residence:						State			Country			Citizenship							
Post Office																			
Post Office																			
City					State			Zip			Country			Applicant Authority					
Name of Additional Joint Inventor, if any:										A petition has been filed for this unsigned inventor									
Given					Middle			Family					Suffix						
Inventor's											Date								
Residence:						State			Country			Citizenship							
Post Office																			
Post Office																			
City					State			Zip			Country			Applicant Authority					

Additional inventors are being named on supplemental sheet(s) attached hereto

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EXPRESS MAIL LABEL NO. _____

PTO/SB/09 (6-95)
Approved for use through 9/30/98. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c))--SMALL BUSINESS**

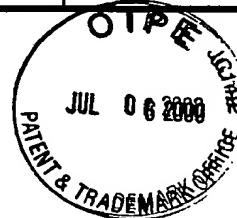
Docket Number (Optional)
310265.90261

Applicant or Patentee: Paul C. Tang/Charles Y. Young

Serial or Patent No.: 09/524,826

Filed or Issued: 03/14/2000

Title: Electronic Medical Records System With Active Clinical Guidelines



I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: Epic Systems Corporation
ADDRESS OF SMALL BUSINESS CONCERN: 5301 Tokay Blvd.
Madison, WI 53711

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with the title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention must file separate verified statements averring to their status as small entities and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each such person, concern or organization having rights in the invention is listed below:

- ☒ No such person, concern, or organization exists.
☐ Each such person, concern or organization is listed below.

FULL NAME _____

ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Judith Faulkner

TITLE OF PERSON OTHER THAN OWNER CEO

ADDRESS OF PERSON SIGNING Epic Systems Corp., 5301 Tokay Blvd. Madison, WI 53711

SIGNATURE Judith Faulkner DATE: 5/31/00, 2000

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